

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/923,377	08/06/2001	Shaun S. Wang	CU-2319 WDD

CONFIRMATION NO. 8932

FORMALITIES LETTER



OC000000006819683

Ladas & Parry
Suite 1200
224 South Michigan Avenue
Chicago, IL 60604

10/1/01

WDD
10-5-01

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

10/17/2001 HVUONG1 00000047 09923377

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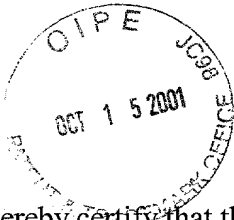
65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



17-A

Sector #

Certification under 37 CFR 1.8a

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Assistant Commissioner for Patents, Washington, D.C. 20231 on October 10, 2001.

W. Dennis Drehkoff

Name

Signature

DOCKET: CU-2319

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Shaun Shuxun Wang

Serial No.: 09/923,377

Group Art Unit:

Filed: August 4, 2001

Examiner:

For: SYSTEM AND METHOD FOR PRICING FINANCIAL AND INSURANCE RISKS
WITH HISTORICALLY-KNOWN OR COMPUTER GENERATED PROBABILITY
DISTRIBUTIONS

THE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

Enclosed for filing please find the following:

1. Response to Notice of Missing Parts
2. Postcard of Receipt

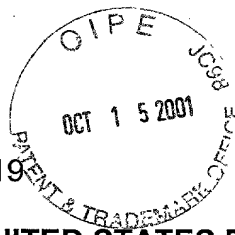
Please affix your date stamp to the enclosed return-receipt postcard to evidence your receipt of the above items. The Commissioner is authorized to charge any additional fees associated with this case or credit any overpayment to Deposit Account 12-0400.

October 10, 2001

Respectfully Submitted,

W. Dennis Drehkoff
Attorney for Applicant
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224 S. Michigan Avenue
Suite 1200
Chicago, Illinois 60606
(312) 427-1300
Reg. No. 27193

DOCKET: CU-2319



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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RESPONSE TO NOTICE OF MISSING PARTS

This is a response to the notice of missing parts dated October 1, 2001.

Applicant submits the following abstract in the above-identified application.

IN THE ABSTRACT:

Please insert the attached clean version of the abstract on page 119.

REMARKS

Applicant here submits an executed declaration for the above-identified application to meet the requirements of the notice of missing parts for the above-identified application. Additionally, Applicant is submitting an Abstract on page 119 of this application.

The fee of \$65.00 for a small entity is being submitted herewith.

Applicant submits that the application is now ready for examination.

October 10, 2001

Date

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